<u>Annexures</u>

	Application Form for NABET Initial Accreditation (Kindly attach separate sheets if necessary, for more inform	
1.	Name and Address of the Consultant organization/ Exploration Ager	псу
	a. Head Office	Affix Passport
	b. Branch Office	Size photograph of the contact person
2.	Name of the Head of the Organization	
3.	Contact person details	
	Name:	
	Address:	
	Tel NoMobile	
	Email	
4.	 Legal Status of the organization (please mark (V) the appropriate states) a) Public/Private b) Company/Partnership/Proprietorship/Registered Society c) Research/Academic Institute d) Industry Association e) Others (please specify and attach necessary evidence) 	atus)
5.	Date of Registration / Incorporation (attach copy c incorporation/registration)	of certificate of
DD	MM YYYY	
6.	Established in Year	
7.	Services provided by the Organization	
8.	 Number of Employees Total For Exploration activities 	
9.	Annual Income of the organization in Indian Rupees (attach balance for the last 3 years)	sheet and IT returns
10.	Organization Structure (with details of locations/associates etc.). For organizations, the organization structure of the stream related to Ex be detailed out (attach organization chart and other details).	

Category A: Abbreviation for Technical/ Functional Areas

SI. No.	Functional area/ Services	Abbreviation
1	Geology	GEO
2	Geophysics	GP
3	Remote Sensing & GIS	RS
4	Hydrogeology	HG

Category B: Abbreviation for Technical/ Functional Areas

SI. No.	Functional area/ Services	Abbreviation
1	Geology	GEO

11. Technical Expertise (full time employees and/ or empanelled) available with the organization (attach CVs) for experts' qualification, experience, exposure etc. In case of empanelled experts, please also attach declarations of Project Coordinators/ Technical Area Experts of their association with your organization and with others, in the format given in)

Project Coordinator

S. No	Name	In-house (GEO)	CV Attached
			Y / N
			Y / N

Technical Area Expert/(s) Please use abbreviations mentioned above (Abbreviation for Technical/ Functional Areas) or Refer section 5.1.2 Technical Area Experts (TAEs) of Scheme:

S. No	Name	In-house (GEO)	Area of Expertise	CV Attached
				Y / N
				Y / N

Technical Area Expert/(s) Please use abbreviations mentioned above (Abbreviation for Technical/ Functional Areas) or Refer section 5.1.2 Technical Area Experts (TAEs) of Scheme:

S. No	Name	In-house / Empanelled	Area of Expertise	CV Attached
				Y / N
				Y / N

Documentary evidence for the stated experience, exposure and training of the proposed PC Coordinators and Technical Area Experts to be provided to NABET Assessors during assessment.

12. How do you get field monitoring done to collect physical data?

In-house laboratory

External laboratory

12.1 If laboratory is accredited by NABL, please submit copies of the

Accreditation scope certificate and the parameters accredited for. For other recognized laboratories, please submit a copy of the relevant Notification/ Document and also a copy for assessing the scope recognition.

- 13. How do you get your Survey Data collected?
 - In-house team MoU with External Agency

13.1 If external survey agency, please submit copies of MoU and scope coverage. Please submit a copy of the relevant document for the scope recognition.

14. Furnish details of Instruments/ software available:

S. No	Name of Instruments/software	Upload Relevant Document/License

15. Organization's experience in Geological Report preparation:

S. No	Name of Report	Client Name	Report Type	Period	Completion Certificate from Client	Upload Report

- 16. Geological Reports/ studies carried out in last three years -a. Numbers of Geological Reports (GR) prepared
- 17. Enclose a copy of one Geological Report (soft copy) and list of reports prepared by the organization in the preceding two years from the date of application.
- 18. Enclose a copy of Quality Management System Manual (Refer *Appendix C* of Scheme)

19. Declaration:

We have carefully read all NABET guidelines of Accreditation Scheme for Exploration Agency for Minerals Sector. The conformity of eligibility of the experts proposed, employment status of proposed experts to the requirements of the Scheme, has been verified by us at our end.

We agree to code of conduct terms in clause no. 11.0. We confirm that the information provided in the application in support of the application is correct to the best of our knowledge and belief.

We authorize NABET to make any enquiry as deemed fit as part of the reviewing process. We understand that in case any information is found to be incorrect, it may result in rejection of this application and/or disqualification. We authorize NABET to utilize the information provided in this application for legal, research, training, sharing with concerned ministry and/or for any other purpose as may be deemed fit by NABET.

If accredited, we commit to notify NABET immediately of any changes in the status where information regarding such changes, if declared may affect the consideration for accreditation of the organization.

Signatures Name <u>(Authorized Signatory)</u> Designation Organization Date

Ensure that the following are enclosed with the application: -

	Documents to be enclosed	Yes/ No
1.	Filled in Application form with the photograph of the contact person (<i>Annexure</i> 1)	
2.	Application fees	
3.	Copy of the legal Status of the organization including the date of registration/ incorporation	
4.	Organization's Balance Sheet and Income Tax Returns, for the last three years (depending on date of registration of organization)	
5.	Organizational structure with respect to the people involved.	
6.	Annexure 5 - signed resume of Project Coordinator/s (PC) with photographs	
7.	Annexure 5 - signed resume of Technical Area Experts with photographs	
8. /	Annexure 12 - Declaration of empanelled Technical Area Experts of their association with applicant organization AO or other organizations, if applicable. Also, a NOC, as applicable.	
9.	Copy of the certificate, scope of accreditation for NABL accredited laboratories and MoU/ Agreement	
10.	Copy of the MoU for Survey Engagements , scope of work covered under the MoU/ Agreement	
11.	For Government recognised/ CSIR lab/ Institution lab/ University lab, submit a copy of the relevant Notification/ Document and also a copy for assessing the scope recognition.	
12.	QMS Manual meeting the requirements of <i>Appendix C</i> of the Scheme	
13.	Names of models/ software being used for generation/interpretation of data	
14.	Copies of promotional material, if any.	

Application to be submitted in soft only. Hard copies of documents to be submitted only if specifically asked for by NABET.

	Application Form for NABET Surveillance Assessmer (Kindly attach separate sheets if necessary, for more inform	
Name	and Address of the Consultant organization/ Exploration Agend	Çy
a.	Head Office	Affix Passport Size photograph
b.	Branch Office	of the contact person
_		

2. Name of the Head of the Organization

3.	Contact person details	
----	------------------------	--

1.

Name:		
Address:		
Tel No	Mobile	

4.	Legal Status of the organization (please mark (v) the appropriate status)

a) Public/Private

Email

- b) Company/Partnership/Proprietorship/Registered Society
- c) Research/Academic Institute
- d) Industry Association
- e) Others (please specify and attach necessary evidence)
- 5. Date of Registration / Incorporation (attach copy of certificate of incorporation/registration)

DD		ММ	YY			
6.	Established	in Year				

7. Services provided by the Organization

- a) Before initial accreditation____
- b) After initial accreditation (new fields ventured in)
- 8. Initial Accreditation/Re-accreditation:
 - a) Effective from (DD/MM/YYYY):
 - b) Previous Assessment -AC MoM (DD/MM/YYYY):
 - c) Additional (Supplementary /Expansion of Scope) Assessment -AC MoM-(DD/MM/YYYY):

9. Annual Income of the organization in Indian Rupees (attach balance sheet and IT returns for the last 3 years)

Income	FY	FY	FY
Total Income (INR)			
Geological Reports preparation related Income (INR)			

10. Organization Structure (with details of locations/associates etc.). For multi-functional organizations, the organization structure of the stream related to Exploration work may be detailed out (attach organization chart and other details).

С	Category A: Abbreviation for Technical/ Functional Areas						
	Sl. No.	Functional area/ Services	Abbreviation				
	1	Geology	GEO				
	2	Geophysics	GP				
	3	Remote Sensing & GIS	RS				
	4	Hydrogeology	HG				

Category B: Abbreviation for Technical/ Functional Areas

SI. No.	Functional area/ Services	Abbreviation
1	Geology	GEO

11. Compliance to following conditions of Accreditation

SI. No.	Description	Yes/No	Attach doc evidence, if applicable
1.	Timely information and replacement of changes in approved experts		
2.	All applicable TAs are covered by approved experts/eligible candidates		
3.	Using only Government recognised/ NABL accredited/ CSIR lab/ Institution lab/ University lab recognized Laboratories		
4.	Not utilizing any unapproved expert in Report preparation		
5.	Inclusion of names of Project Coordinator & TAEs Geological reports in the prescribed format		
6.	Timely payments to NABET		

12. Number of Employees/ Experts:

- Total Employees/ Experts:
- > For Geological Reports preparation activities:

Experts	In- house	Empanelled	Total
Project coordinator (PC)			

Technical area experts (TAE)		
Team Members (TM)		
Total		

a) Project Coordinator available with the Organization (Approved/ Applied)

S. No	Name	In-house (GEO)	Approved/ Applied	CV Attached
				Y / N
				Y / N

b) **Technical Area Expert/(s)available with organization:** Please use abbreviations mentioned above (Abbreviation for Technical/ Functional Areas) or Refer section 5.1.2 Technical Area Experts (TAEs) of Scheme:

S. No	Name	In-house (GEO)	Area of Expertise	Approved/ Applied	CV Attached
					Y / N
					Y / N

c) **Technical Area Expert/(s)available with organization:** Please use abbreviations mentioned above (Abbreviation for Technical/ Functional Areas) or Refer section 5.1.2 Technical Area Experts (TAEs) of Scheme:

S. No	Name	In-house / Empanelled	Area of Expertise	Approved/ Applied	CV Attached
					Y / N
					Y / N

d) Team members available with organization:

(to make sure that the TM proposed meets the qualification requirements)

S. No	Name	In-house / Empanelled	Qualification/ Experience	Approved/ Applied	CV Attached		
Projec	ct Coordinator						
					Y / N		
With Technical Area Expert(s)							
					Y / N		

e) New Candidates proposed:

SI. No.	Name	In-house/ Emp.	Area of Expertise/ Qualification	CV Attached
Project Coo	rdinator (PC)			
				Y/N
				Y/N
Technical A	rea Expert(s)			
				Y/N
				Y/N
Team Member				
				Y/N

Sl. No.	Name	In-house/ Emp.	Area of Expertise/ Qualification	CV Attached
				Y/N

Documentary evidence for the stated experience, exposure and training of the proposed Project Coordinator and Technical Area Experts to be provided to NABET Assessors during assessment

13. How do you get physical field data?

In-house laboratory	External laboratory	
---------------------	---------------------	--

a) Laboratories being use:

ſ	о.	Name of the laboratory*	Government recognised/ NABL accredited/ CSIR lab/ Institution lab/ University lab	Copy of MoU/ Agreement with laboratory/ defining scope of work

*the following details are to be provided for <u>each laboratory</u> being used.

- b) If laboratory is accredited by NABL, please submit copies of the Accreditation scope certificate and the parameters accredited for. For other recognized laboratories, please submit a copy of the relevant Notification/ Document and also a copy for assessing the scope recognition.
- c) For all laboratories, please submit the copy of the agreement with the scope of coverage and validity period.
- 20. How do you get your Survey Data collected?

In-house team

MoU with External Agency

14.1 If external survey agency, please submit copies of MoU and scope coverage. Please submit a copy of the relevant document for the scope recognition.

14. Furnish details of Instruments/ software available:

S. No	Name of Instruments/prediction model/software	Upload Relevant Document/License

15. Organization's experience in Geological Report preparation:

S. No	Name of Report	Client Name	Report Type	Period	Completion Certificate from Client	Upload Report

15.1 Geological Reports/ studies carried out in last 18months-

- a. Numbers of reports prepared
- 15.2 Enclose a copy of one Geological Report (soft copy) and list of reports prepared by the organization in the preceding year from the date of Initial Accreditation.
- 16. Enclose a copy of Quality Management System Manual (*Refer Appendix C of Scheme*)

17. Declaration:

We have carefully read all NABET guidelines of Accreditation Scheme for Exploration Agency for Minerals. The conformity of eligibility of the experts proposed, employment status of proposed experts to the requirements of the Scheme, has been verified by us at our end. We agree to code of conduct terms in clause no. 11.0.

We confirm that the information provided in the application in support of the application is correct to the best of our knowledge and belief.

We authorize NABET to make any enquiry as deemed fit as part of the reviewing process. We understand that in case any information is found to be incorrect, it may result in rejection of this application and/or disqualification. We authorize NABET to utilize the information provided in this application for legal, research, training, sharing with concerned ministry and/or for any other purpose as may be deemed fit by NABET.

If accredited, we commit to notify NABET immediately of any changes in the status where information regarding such changes, if declared may affect the consideration for accreditation of the organization.

Signatures
Name (Authorized Signatory)
Designation
Organization
Date

Checklist of Enclosures – Surveillance Assessment

En	sure that the following are enclosed with the application: -	
SI. No.	Documents to be enclosed	Yes/ No / Give Ref.
1.	For Government recognised/ CSIR lab/ Institution lab/ University lab, submit a copy of the relevant Notification/ Document and also a copy for assessing the scope recognition.	
2.	Copy of the Accreditation certificate, scope of accreditation and MoU/ Agreement for NABL accredited laboratories utilized	
3.	Copy of the MoU for Survey Engagements , scope of work covered under the MoU/ Agreement	
4.	QMS Manual meeting the requirements of <i>Appendix C</i> of the Scheme	
5.	Annexure 5 and Annexure 12 : Resume, Experience Details and Declaration	
6.	Annexure 5, Annexure 9 – Resume and work details for approved Project Coordinator (PC), if s/he has carried out work after IA	
7.	Annexure 5, Annexure 10 – Resume and work details for approved TAE, if s/he has carried out work after IA	
8.	Annexure 6 - List of Geological Reports prepared/completed during the period between IA to SA giving requisite details	
9.	Soft copy of 2 Geological Reports (as identified by NABET) with declaration by names and signatures of experts involved in the preparation of Geological Reports	

	Annexure 3
	Application Form for NABET Re-Accreditation (Kindly attach separate sheets if necessary, for more information)
1.	Name and Address of the Consultant organization/ Exploration Agency
	a. Head Office Affix Passport Size photograph
	b. Branch Office of the contact person
2.	Name of the Head of the Organization
3.	Contact person details
	Name:
	Address:
	Tel NoMobile
	Email
4.	 Legal Status of the organization (please mark (v) the appropriate status) a) Public/Private b) Company/Partnership/Proprietorship/Registered Society c) Research/Academic Institute d) Industry Association e) Others (please specify and attach necessary evidence)
5.	Date of Registration / Incorporation (attach copy of certificate of incorporation/registration)
DD 6.	Established in Year
7.	Services provided by the Organization
	 a) Before initial accreditation b) After initial accreditation (new fields ventured in)
8.	 Initial Accreditation/Re-accreditation: a) Effective from (DD/MM/YYYY): b) Previous Assessment -AC MoM - (DD/MM/YYYY): c) Additional (Supplementary) Assessment -AC MoM-(DD/MM/YYYY):

9. Annual Income of the organization in Indian Rupees (attach balance sheet and IT returns for the last 3 years)

Income	FY	FY	FY
Total Income (INR)			
Geological Reports (GR) preparation related Income (INR)			

10. Organization Structure (with details of locations/associates etc.). For multi-functional organizations, the organization structure of the stream related to GW work may be detailed out (attach organization chart and other details).

С	Category A: Abbreviation for Technical/ Functional Areas				
	SI. No.	Functional area/ Services	Abbreviation		
	1	Geology	GEO		
	2	Geophysics	GP		
	3	Remote Sensing & GIS	RS		
	4	Hydrogeology	HG		

Category B: Abbreviation for Technical/ Functional Areas

SI. No.	Functional area/ Services	Abbreviation
1	Geology	GEO

11. Compliance to following conditions of Accreditation

SI. No.	Description	Yes/No	Attach doc evidence, if applicable
1.	Timely information and replacement of changes in approved experts		
2.	All applicable TAs are covered by approved experts/eligible candidates		
3.	Using only Government recognised/ NABL accredited/ CSIR lab/ Institution lab/ University lab		
4.	Not utilizing any unapproved expert in Report preparation		
5.	Inclusion of names of Project Coordinator & TAEs in Geological Reports (GR) in the prescribed format		
6.	Timely payments to NABET		

12. Number of Employees/ Experts:

- Total Employees/ Experts:
- > For Geological Reports (GR) preparation activities:

Experts	In-house	Empanelled	Total
Project coordinator (GEO)			

Technical area experts (TAE) (GEO)		
Team Members (TM)		
Total		

a) Project Coordinator available with the Organization (Approved/ Applied)

S	5. No	Name	In-house (GEO)	Approved/ Applied	CV Attached
					Y / N
					Y / N

b) **Technical Area Expert/(s)available with organization:** Please use abbreviations mentioned above (Abbreviation for Technical/ Functional Areas) or Refer section 5.1.2 Technical Area Experts (TAEs) of Scheme:

S. No	Name	In-house (GEO)	Area of Expertise	Approved/ Applied	CV Attached
					Y / N
					Y / N

a) **Technical Area Expert/(s)available with organization:** Please use abbreviations mentioned above (Abbreviation for Technical/ Functional Areas) or Refer section 5.1.2 Technical Area Experts (TAEs) of Scheme:

S. No	Name	In-house / Empanelled	Area of Expertise	Approved/ Applied	CV Attached
					Y / N
					Y / N

b) Team members available with organization:

(to make sure that the TM proposed meets the qualification requirements)

S. No	Name	In-house / Empanelled	Qualification/ Experience	Approved/ Applied	CV Attached			
Project	Project Coordinator							
					Y / N			
With T	With Technical Area Expert(s)							
					Y / N			

c) New Candidates proposed:

SI. No	Name	In-house/ Emp.	Area of Expertise/ Qualification	CV Attached	
Proje	ect Coordinator (Exploratio	n)			
				Y/N	
				Y/N	
Tech	Technical Area Expert(s)				
				Y/N	
				Y/N	
Tean	n Member				
				Y/N	
				Y/N	

Documentary evidence for the stated experience, exposure and training of the proposed Exploration/ Geological report preparing Coordinators and Technical Area Experts to be provided to NABET Assessors during assessment

13. How do you get physical field data?

In-house laboratory External laboratory

a) Laboratories being used since IA/SA/RA:

S. No	Name of the laboratory*	Government recognised/ NABL accredited/ CSIR lab/ Institution lab/ University lab	Copy of MoU/ Agreement with laboratory/ defining scope of work

*the following details are to be provided for <u>each laboratory</u> being used.

- b) If in-house arrangement, then please provide details.
- c) If laboratory is accredited by NABL, please submit copies of the Accreditation scope certificate and the parameters accredited for. For other recognized laboratories, please submit a copy of the relevant Notification/ Document and also a copy for assessing the scope recognition.
- d) For all external laboratories, please submit the copy of the agreement with the scope of coverage and validity period.
- 14. How do you get your Survey Data collected?

In-house team

MoU with External Agency

14.1 If external survey agency, please submit copies of MoU and scope coverage. Please submit a copy of the relevant document for the scope recognition.

15. Furnish details of Instruments/prediction model/software available:

S. No	Name of Instruments/prediction model/software	Upload Relevant Document/License

16. Organization's experience in Geological Report (GR) preparation:

S. No	Name of Report	Client Name	Report Type	Period	Completion Certificate from Client	Upload Report

- 15.1 Out of the number of Geological Reports/ Studies carried out in last 3 years
 - a. Numbers of reports prepared
- 15.2 Enclose a copy of one Geological Report (soft copy) and list of reports/ plans prepared by the organization in the preceding year from the date of Initial Accreditation.
- 17. Enclose a copy of Quality Management System manual meeting the requirements of *Appendix C* of the Scheme. Also confirm if NCs / Obs. issued during SA on QMS have been addressed including closure action on each of these. (*Refer Appendix C of Scheme*)

18. Declaration:

We have carefully read all NABET guidelines of Accreditation Scheme for Exploration Preparation Agency in Mineral Sector. The conformity of eligibility of the experts proposed, employment status of proposed experts to the requirements of the Scheme, has been verified by us at our end. We agree to code of conduct terms in clause no. 11.0. We confirm that the information provided in the application in support of the application is correct to the best of our knowledge and belief.

We authorize NABET to make any enquiry as deemed fit as part of the reviewing process. We understand that in case any information is found to be incorrect, it may result in rejection of this application and/or disqualification. We authorize NABET to utilize the information provided in this application for legal, research, training, sharing with concerned ministry and/or for any other purpose as may be deemed fit by NABET.

If accredited, we commit to notify NABET immediately of any changes in the status where information regarding such changes, if declared may affect the consideration for accreditation of the organization.

Organization

Date

Checklist of Enclosures – Re-accreditation

Ensure that the following documents are enclosed/uploaded with the application: -

SI. No.	Documents to be enclosed	Yes/ No/ Give Ref
1	For Government recognised/ CSIR lab/ Institution lab/ University lab, submit a copy of the relevant Notification/ Document and also a copy for assessing the scope recognition.	
2	Copy of the Accreditation certificate, scope of accreditation and MoU/ Agreement for NABL accredited laboratories utilized	
3	Copy of the MoU for Survey Engagements , scope of work covered under the MoU/Agreement	
4	QMS Manual meeting the requirements of Appendix C of the Scheme	
5	Annexure 5 and Annexure 12: Resume, Experience Details and Declaration	
6	<i>Annexure 5, Annexure 9</i> – Resume and work details for approved Project Coordinator (PC), if s/he has carried out work after SA	
7	Annexure 5, Annexure 10 – Resume and work details for approved TAE, if s/he has carried out work after SA	
8	Annexure 6 - List of Geological Reports prepared/completed during the period between SA to RA giving requisite details	
9	Soft copy of 2 Geological Reports (as identified by NABET) with declaration by names and signatures of experts	

Application Form for NABET Supplementary Assessment

(Kindly attach separate sheets if necessary, for more information)

- 1. Name and Address of the Consultant organization/ Exploration Agency
 - a. Head Office
 - b. Branch Office

Affix Passport Size photograph of the contact person

2. Name of the Head of the Organization

3. Contact person details

Name:_____

Address:_____

Tel No._____Mobile _____

Email___

4. Reason for applying:

SI.	Reason for applying	Abbreviation	Assessment
No.			norms
1	Addition / Modification in scope of approved experts	EXP	IA
2	New candidates: Replacement of approved experts (PC/TAE)	RPL	IA
3	Re-proposing the candidates three months after the last assessment (Refer NABET letter)	RPR	As per the last assessment norm
4	Absent during last Office assessment (Refer email sent to NABET by AO /ACO)	ABS	As per the last assessment norm
5	To be assessed (Refer AC MoM)	TBA	NABET to inform

*Application must be submitted along with the applicable fee (see *Appendix D* of the Scheme).

- Amount paid
- Mode of payment and number (NEFT/ RTGS / IMPS)
- Date of payment

NEFT/ RTGS / IMPS to be done in favour of 'Quality Council of India New Delhi.

5. Candidates proposed as:

A. Project coordinator (Exploration) -

(Enclose <i>Annexure 2</i>)							
SI. No.	Name of the candidate	IH/ Emp	Reason for applying (Ref point 4 above)	Areas applied	Areas already approved		

B. Technical Area Expert –

(Enclose Annexure 2)

SI. No.	Name of the candidate	IH/ Emp	Reason for applying (Ref point 4 above)	TAs applied	TAs already approved

Note:

- Candidates who were not approved in last assessment and now reproposed, must give a separate note on additional experience/ training/ knowledge acquired since last assessment supported by relevant documents.
- 2. The candidates who have been re-proposed need to submit
- PC Annexure 9
- TAE Annexure 10.

6) Declaration

I have carefully read all NABET guidelines of Accreditation Scheme for Exploration Agency in Mineral Sector. The eligibility criterion including academic as well as professional qualifications and experience claimed by all the candidates whose resume are enclosed with the application form are verified and endorsed by me. I hereby confirm that the true copy of Marks Sheets/Certificates for essential educational qualification candidates proposed, if asked for by NABET, would be duly verified by me before submission. I confirm that the information provided in the application form is correct to the best of my knowledge and belief.

I authorize NABET to make any enquiry as deemed fit as part of the reviewing process. I understand that in case any information is found to be incorrect; it may result in rejection of this application and/or disqualification.

NABET will treat the documents submitted by AO in confidence. However, the same may be used by NABET for research purpose, legal requirement and for submission to concerned ministry. Such information will not be shared with any other organizations without written permission of the AO.

If accredited, the organization commits to abide by the conditions of accreditation and notify NABET immediately for any changes in the status which have bearings on accreditation of the organization.

Signature:	
Name (authorized signatory):	
Designation:	
Date	

	(Resume Format)						
(For P	roject Co	ordinator/ Techni	cal Area Exp	erts)			
1)	Mr./Ms	./Dr.				Affix latest	
(First	name)	(Middle name	e) (Last	name)		passport size photograph of the applicant	
2)	Date of	birth					
3)	PAN		_				
4) R	ole in the	organization (plea	se tick):				
	Project	e expert (IH) Coordinator Applied		Technical Area	expert (Emp.) Expert (TAE)		
5) C		tails					
				Pin Code			
6)	Tel. No.						
7)	Fax No.						
8)	Email ac	ddress					
9)	Office a	ddress					
				Pin Code			
10)	Academ	nic Qualification (G	raduation ar				
Peri (Yea		Name of the Univ	versity	Degree	Subjects	Grade / % Marks	
L							

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11)	Registered/recognized training courses attended:	
-----	--	--

SI. No.	Title of the course	Conducted/organized by (name and address)	Dates From	То	Result

12) Membership of Professional Bodies: -

	Professional body (name and address)	Membership	Period of validity

13) Experience (write in chronological order with most recent experience listed first):

A	. General (in brief):			
Period (From – to)	Organization with address	Designation	Type of Experience(A/B/C/D)Industrial Exp.AGR AssignmentsBTeaching / Ph.D.COtherD	Specific details of experience for type A/B/C/D (not more than 25 words for each) as applicable

A General (in hrief).

14) Declaration by the applicant

I hereby declare that the above information relating to my education and experience is correct. I do understand that any incorrect information will result in disqualification of my candidature and accreditation of the organization with NABET.

Signature_____Date (DD/MM/YYYY) _____

15) Declaration by the employer

The above information in relation to Dr./Mr./Ms......has been verified and found to be correct. I understand that in case the information is found to be incorrect it may result in disqualification of the organisation under the Scheme.

Signature:

Name (authorized signatory):

Designation:

Date:

List of Geological Reports On-going/Completed (Since Last Assessment)

Name of Exploration Agency/ Consultant Organization:

SI.No.	Name of project with location	Name of the client	Name of Project coordinator (PC)	Status of Geological Report: a. On going b. Draft Report ready c. Final Report submitted to Client d. Approval by Ministry	Cost: ^{a.} Project Cost: ^{b.} Cost of Report:
i	ii	iii	iv	v	vi
1					
2					
3					
4					
5					

Details of Laboratories Utilized								
		Accreditation/	Recognition status with Sc	ope				
	laboratory with complete address	Government recognised/ NABL accredited/ CSIR lab/ Institution lab/ University lab	Data Analysis	Basic Parameters	Additional Parameter	Valid till		
i	ii	iii	iv	v	vi	vii		
1						-		
2						-		
3						-		

Note:

• Also mention the details of assessment conducted recently for which results are awaited. For SA application details to be furnished between IA and SA and for RA application between SA and RA.

Declaration by Experts contributing to the Geological Report

I, hereby, certify that I was a part of the team in the following capacity that developed the above Geological Report

Project coordinator (Exploration):
Name:
Signature and Date:
Period of involvement:
Contact information:

Functional area experts:

S. No.	Area	Name of the expert/s	Involvement (period and task**)	Signature and date
1				
2				
3				
4				

*One TM against each TAE may be shown

**Please attach additional sheet if required

Declaration by the Head of the accredited consultant organization/ authorized person

I,,	hereby,	confirm	that	the	above-men	tioned
experts prepared the Geological Report				I	also confirm	n that
Project coordinator (Exploration)has gone throu	ugh the re	eport, and	d the o	consu	ultant organ	ization
shall be fully accountable for any misleading inf	ormation	I .				
It is certified that no unethical practices, plag	giarism in	volved ir	n carr	ying	out the wo	rk and

It is certified that no unethical practices, plagiarism involved in carrying out the work and external data / text has not been used without proper acknowledgement while preparing this Geological Report.

ignature:
lame:
esignation:
ame of the consultant organization:
ABET Certificate No. & Issue
ate:

Project Coordinator – Experience since last approval

- 1) Name:
- 2) Area approved (mention exact description as in NABET certificate/ letter):

SI. No.	Name of Area	Vide NABET MoM dated
1		
2		
3		
4		
5		

3) Work carried out since last assessment

Geological	Geological Reports involved in				
SI. No.	Area	Name of project, client, capacity	Site visits No. and duration (days) (Field log book to be maintained and presented during assessment)		
i	ii	iii	iv		
Work carri	ed out for	the present ACO			
1					

NOTE: (*) Details to be provided for each area in separate rows

Technical Area Expert – Experience since last approval

- 1) Name:
 - 2) Area/s approved (mention exact description as in NABET certificate/ letter):

SI. No.	Name of Area	Vide NABET MoM dated
1		
2		
3		
4		
5		

3) Work carried out since last assessment

Geological I	Geological Reports Preparation involved in				
SI. No.	Area	Name of project, client, capacity	Site visits No. and duration (days) (Field log book to be maintained and presented during assessment)		
i	ii	iii	iv		
Work carrie	d out for	the present ACO			
1					

NOTE: (*) Details to be provided for each area in separate rows

Self-Assessment Checklists

To judge the readiness of an organization for applying and subsequent assessment by NABET assessors including interactions with candidates proposed, a Self- assessment checklist has been developed for IA, SA and RA for use by the applicant organisation -

1) Initial Accreditation –

a. Application process -

- i. Have information pertaining to the organization's profile been included in Application Form?
- ii. Is the Annexure 5 for all candidates proposed been properly filled?
- iii. Has the application form and all CVs submitted are duly signed?
- iv. Does the organization have minimum 2 eligible in-house/empanelled experts (1 PC and 1 TAE) as per the requirements of the Scheme?
- v. Do all proposed candidates for PC fulfil the criteria of NABET Scheme?
- vi. Do all proposed candidates for TAE fulfil the criteria of NABET Scheme?
- vii. Do the candidates proposed as PC have the requisite experience?
- viii. Do the candidates proposed as TAE have the experience in the Technical area/s?
- ix. Has any candidate been proposed for more than one technical area?
- x. Has any full-time employee with other organizations (except from university, institution and NGO) been proposed as a PC or TAE?
- xi. Does the organization have MoU with Government recognised/NABL accredited/ CSIR lab/Institution lab/ University lab in-house/external laboratory for data analysis?
- xii. Does the organization have the valid certificate of accreditation/Notification for the lab?
- xiii. Does the organization have valid MoU signed with the external lab?
- xiv. Does the QMS address the procedures given in *Appendix C* of the Scheme?

b. Assessment process

- i. Is the Organization ready for office assessment by NABET have all candidates read the NABET scheme specially the assessment criteria including the aspects on which the PC and TAEs will be assessed, field investigation requirements (sampling, preservation, laboratory processes) and expected functions of PCs and TAEs?
- ii. Are the candidates aware of the QMS developed by the organization? Is the implementation of QMS documented?
- iii. Does the organisation have arrangements for improving the knowledge/skills of its personnel through trainings/exposures?

iv. Does the organization have all necessary documentary evidence to be shown to NABET assessors during assessment?

Self-Assessment Result

If all questions answered/followed	Apply right now	Ready for applying and assessment
If 50% of questions answered/followed	Apply after addressing the unanswered ones.	Partially ready.
If less than 50% questions are answered/followed	Need To focus on the accreditation guidelines	Not ready for applying and assessment

2) Surveillance Assessment –

The Questions of the IA process as mentioned above are valid, as appropriate. In addition, the following Questions may be answered –

a. Application process -

- i. Have all NC's and observations raised during IA been properly closed? Are sufficient documentary evidences available for the same?
- ii. Does the Organization have complete details for PCs and TAEs as per *Annexure 9 and 10* for inclusion in the SA application?
- iii. Has the Organization used Government recognised/ NABL accredited/ CSIR lab/ Institution lab/ University lab for the Studies carried out after IA?
- iv. Have all conditions of accreditation as mentioned in NABET's letter, been complied with?
- v. Has NABET been informed on time of any approved expert leaving the organization and arranged replacement?
- vi. Has a clear list been prepared of experts approved in IA and subsequently and those proposed with the SA application?
- vii. Has the organization used any unapproved person or approved experts after initial accreditation?
- viii. Has the signed declaration of experts involved and countersigned by the CEO in the prescribed format been included in the reports?
- ix. Does the organization have the quality assurance procedures for collection, preservation and transfer of samples and have implemented the same?
- x. Does the organization have procedure and followed the same for ensuring that the Government recognised/NABL accredited/CSIR lab/Institution lab/

University lab follows its quality control process to ensure correctness of the tests carried out?

b. Accreditation process -

- i. Have experts visited site and does the organisation have proper log books for the site visits?
- ii. Has the organisation filled complete details of all laboratories utilized after IA?
- iii. Does the organization have quality assurance procedure for primary and secondary data collection and implemented the same?
- iv. Has the organization-maintained copy of an internal QMS audit report and the last Management Review of the QMS?
- v. Does the organization have programs for capacity building for PCs/TAEs in terms of (a) training programmes attended, (ii) upgrading the educational qualification, (iii) others
- vi. Does the organization have record of NABET AC MoM of all approved candidates?

Self-Assessment Result

If all questions answered/followed	Apply right now	Ready for applying and assessment
If 50% of questions answered/followed	Apply after addressing the unanswered ones.	Partially ready.
If less than 50% questions are answered/followed	Need To focus on the accreditation guidelines	Not ready for applying and assessment

3) Reaccreditation -

The Questions of the IA process as mentioned above will be valid, as appropriate. In addition, the following questions may be answered –

- i. Have all NCs and observations of SA have been closed?
- ii. Does the organization have all information asked for about laboratories used after SA?
- iii. Does the organization have required information on how the experts are keeping their knowledge updated?
- iv. Does the organization have requisite details of the earlier assessments of experts?
- v. Has the organization made all payments due to NABET?

- vi. Has the organization taken steps towards capacity building for PCs/TAEs/ lab technicians through trainings, improved facilities etc
- vii. Has the organization implemented QMS and made improvements in the same?
- viii. Did the organization use only approved experts of right category for Geological Report preparation?
- ix. Did the organization check its overall performance from IA to till now?

If all questions answered/followed	Apply right now	Ready for applying and assessment
If 50% of questions answered/followed	Apply after addressing the unanswered ones.	Partially ready.
If less than 50% questions are answered/followed	Need To focus on the accreditation guidelines	Not ready for applying and assessment

Declaration for Empanelled Experts of

their association with the applicant organization and others

(To be signed within 60 days preceding the date of application for accreditation)

This is to confirm that I_____

_____am currently

involved with the following Exploration projects:

- 1) With the Applicant Organization
 - a. As a Project Coordinator (Exploration)

Sl. No.	Name of the project	Period	Technical areas as per Scheme

b. As a Technical area expert

Sl. No.	Name of the project	Period	Technical areas as per Scheme

2) With another organization/s -

a. As a Project Coordinator (PC)

SI. No.	Name of the organization	Name of the project	Period	Technical areas as per Scheme

b. As a Technical area expert

SI. No.	Name of the organization	Name of the project	Period	Technical areas as per Scheme

3) Involvement as an expert in NABET Scheme for Accreditation of Exploration Agency in Mineral Sector (for projects in hand)

Sl. No.	Name of the organization	Technical areas as per Scheme	If interviewed by NABET (Date)	Duration of association with the organization(pe riod)

I hereby confirm that I am involved only with...... (mention the number) consultants.

Na	Name					
Sig	nature					
Da	te					
Pre	esent Status	(Please tick the appropriate one)				
a.	Freelancer:	Yes/No				
b.	Working: In-ho	ouse employee				
	(If yes, name c	of organization)				
Ad	dress for Corres	spondence:				

Decla	ration by the applicant organization
	I hereby confirm that I have applied complete due diligence on my part in ascertaining the appropriateness of the information furnished above by the expert
	Name
	Designation
	Organization
	Signatures and Date

Declaration of Accepting NABET's Code of Conduct

C.E.O. / Head of Consultant Organisation

This is to confirm that Iagree with the Code of Conduct (Section 11.0 of Scheme), conditions of accreditation of NABET and give an undertaking that I would abide by the stated conditions for all activities pertaining to Consultancy Services/ Activities.

I also understand that awarding/ continuation of accreditation of my organization is subject to continual compliance to conditions of accreditation.

Name	
Designation	
Date	
Signature	

Application Form for information on Team Member

(Kindly attach separate sheets if necessary, for more information)

- 1. Name and Address of the Consultant organization/ Exploration Agency
 - a. Head Office

 b. Branch Office

 b. Branch Office

 Affix Passport

 Size photograph

 of the contact

 person

 Name of the Head of the Organization

 Contact person details

 Name:

 Address:

 Tel No.

 Mobile
- 4. Team Members proposed

2.

3.

SI	Name	Qualification #	Technical Area	Name of the project associated with	Approved Sr. Expert	Specific nature of work to be assigned
With I	Project Coordin	ator				
With	With Technical Area Expert					

[#]Qualification – Bachelor degree in technical subjects and Master's degree in Science, Humanities and other subjects, year of passing and name of university

Declaration by the employer

We have carefully read the provisions in respect of 'Team Members' in the NABET's Accreditation Scheme for Exploration Agency in Mineral Sector and commit to abide by the same. The conformity of eligibility of the candidates proposed as Team Member in respect of qualification and other aspects has been verified by us at our end. We confirm that the information provided in the application is correct to the best of our knowledge and belief.

We understand that in case the information provided is found wrong/mis-leading, it may result in cancellation of accreditation granted to the organization.

Signature	
-----------	--

Name	(authorized	signatory)
------	-------------	------------

Designation

Organization

Date

For Further Details Contact:

National Accreditation Board for Education and Training (NABET) Quality Council of India, Institution of Engineering Building, 2nd Floor, Bahadur Shah Zafar Marg, New Delhi – 110002, India Tel: +91-11-2337 9321, 2337 9821, 2337 0567 Fax. No: 2337 9621 Email: <u>akjha.nabet@qcin.org;jagminder.nabet@qcin.org</u>

Annexure-II

Application Form for Notification under the second proviso to section 4(1) of the MMDR Act, 1957

(Kindly attach separate sheets if necessary, for more information)

To,

The Joint Secretary (Exploration),

Ministry of Mines,

Government of India,

Shastri Bhawan, New Delhi – 110001.

Sub: Application for notification under the second proviso to section 4(1) of the MMDR Act, 1957

Sir,

Kindly consider the following application for notification under the second proviso to section 4(1) of the MMDR Act, 1957:

1. Name and Address of the Exploration Agency

a. Head Office

b. Branch Office

2.	Name of the	e Head of the Organiza	ation	
3.	Contact per	rson details		
N	Vame:			
	Address:			
	Tel No		Mobile	
	Email			

4. Legal Status of the organization (please mark ($\sqrt{}$) the appropriate status)

- a) Public/Private
- b) Company/Partnership/Proprietorship/Registered Society
- c) Research/Academic Institute
- d) Industry Association
- e) Others (please specify and attach necessary evidence)
- 5. Date of Registration / Incorporation (attach copy of certificate of incorporation/registration) DD MM YY
 - 6. Established in Year
 - 7. Main areas of activity of the organisation/ services provided:
 - a. Before initial accreditation
 - b. After initial accreditation (new fields ventured in)
- 7. (i) Initial Accreditation:

- a. Effective from (DD/MM/YYYY):
- b. Valid upto (DD/MM/YYYY):

(provide proof of accreditation)

(ii) Present Accreditation(s):

- a. Effective from (DD/MM/YYYY):
- b. Valid upto (DD/MM/YYY):
- c. No. of times previously accredited:

(provide proof of accreditation)

9. Annual Income of the organization in Indian Rupees (attach balance sheet and IT returns for the last 3 years)

Income	FY	FY	FY
Total Income (INR)			
Geological Reports (GR) preparation related Income (INR)			

10. PAN No. / GST No.:

11.1 Organization Structure (with details of locations/associates etc.). For multi-functional organizations, the organization structure of the stream related to geological reporting work may be detailed out (attach organization chart and other details).

11.2 Number of Employees/ Experts:

- Total Employees/ Experts:
- For Geological Reports (GR) preparation activities:

Experts	In-house	Empanelled	Total
Project coordinator (Geology GEO)			
Technical area experts (TAEs)			
Team Members (TMs)			

Total		

a. Project Coordinator available with the Organization (Approved/ Applied)

S. No	Name	In-house (GEO)	Approved/ Applied

b. **Technical Area Expert/(s)available with organization:** Please use abbreviations mentioned below (Abbreviation for Technical/ Functional Areas):

S. No	Name	In-house (GEO)	Area of Expertise	Approved/ Applied

c. **Technical Area Expert/(s)available with organization:** Please use abbreviations mentioned below (Abbreviation for Technical/ Functional Areas):

S. No		Area of Expertise	Approved/ Applied

d. Team members available with organization:

S. No	Name	In-house / Empanelled	Qualification/ Experience	Approved/ Applied
Projec	t Coordina	tor		•
With 7	Fechnical A	rea Expert(s)		-

e. Abbreviation for Technical/ Functional Areas

Sl. No.	Technical/ Functional Areas	Abbreviation

1	Geology	GEO
2	Geophysics	GP
3	Remote Sensing & GIS	RS
4	Hydrogeology	HG

12. Organization's experience in Geological Report (GR) preparation:

S. No	Name of Report	Report Type	Period	Upload Report

Out of the number of Geological Reports/ Studies carried out in last 3 years- Numbers of reports prepared: ______

13. Declaration:

We have carefully read all the provisions, requirements, terms and conditions of the Guidelines for notification of accredited private exploration agencies under the second proviso to sub-section (1) of section 4 of the MMDR Act, 1957 issued by Ministry of Mines on [*provide date of Guidelines*] and the Accreditation Scheme for Exploration Agency in Mineral Sector and are in conformity of provisions, requirements, terms and conditions. We agree to the provisions, requirements, terms and conditions of the said Guidelines and Accreditation Scheme.

We confirm that the information provided in the application and documents provided in support of the application are correct to the best of our knowledge and belief.

We authorize Ministry of Mines and QCI-NABET to make any enquiry as deemed fit as part of the process for notification. We understand that in case any information is found

to be incorrect, it may result in rejection of this application and/or disqualification. We authorize Ministry of Mines and QCI-NABET to utilize the information provided in this application for legal, research, training, sharing with concerned Ministries/ Departments and/or for any other purpose as may be deemed fit by Ministry of Mines and QCI-NABET.

If the organisation is notified, we commit to intimate in writing to the Ministry of Mines and QCI-NABET immediately of any changes in the status of the organisation or information provided herein, where information regarding such changes, if intimated, may affect the consideration for notification of the organization.

We understand that accreditation can be cancelled

Signatures

Name (Authorized Signatory)

Designation

Organization

Date