NABET Accreditation Scheme for Hospital & Healthcare Consultant Organization (HAH): Rev 4

National Accreditation Board for Education and Training
Quality Council of India
ITPI Building, 6th Floor, 4-A,
I P Estate, Ring Road, New Delhi - 110002
About NABET

QCI an autonomous non-profit organization, set up jointly by Government of India and the Indian Industry represented by the three premier industry associations, Associated Chambers of Commerce and Industry of India (ASSOCHAM), Confederation of Indian Industry (CII) and Federation of Indian Chambers of Commerce and Industry (FICCI) to establish & operate National accreditation structure and promote quality.

National Accreditation Board for Education and Training (NABET), one of the constituent Boards of QCI, offers accreditation to the Training organizations conducting courses in the area of Quality Management Systems, Environment Management Systems, Occupational Health and Safety Auditors, management courses etc. NABET is also accrediting schools and vocational training courses. Success of any training program is largely dependent on the competency of trainer. These trainers are invariably present in schools, colleges, polytechnics, vocational institutes etc. where students (learners) acquire the skill and knowledge required by them to prosper in designated area of work or field.
Contents

1. Abbreviations ......................................................................................................................... 4
2. General Information .................................................................................................................. 5
   2.1 Background of Scheme .......................................................................................................... 5
   2.2 An Outline of the Scheme ..................................................................................................... 5
3. Accreditation requirement & process ......................................................................................... 6
   3.1 Eligibility for Hospital & Healthcare Consultant Organization / Legal Status ................. 6
   3.2 Experts .................................................................................................................................. 6
   3.3 Scope of Accreditation ........................................................................................................... 7
   3.4 Infrastructure ........................................................................................................................... 7
   3.5 Consultant Quality Assurance System: (CQAS-HAH) ......................................................... 7
4. Assessment & Accreditation process ......................................................................................... 8
   4.1 Application process ................................................................................................................. 8
   4.2 Assessment process ................................................................................................................. 8
   4.3 Accreditation criteria .............................................................................................................. 9
5. Terms & Conditions to maintain Accreditation ......................................................................... 10
   5.1 Compliance to the conditions of Accreditation ................................................................. 10
   5.2 Suspension / Withdrawal of Accreditation ......................................................................... 10
   5.3 Code of Conduct .................................................................................................................... 11
   5.4 Complaint and Appeals ......................................................................................................... 11
   5.5 Payment of fee ....................................................................................................................... 11
   5.6 Governance .......................................................................................................................... 12
   5.7 Confidentiality ....................................................................................................................... 12
   5.8 Use of QCI/NABET Logo ..................................................................................................... 12
6. Fee Structure ............................................................................................................................... 13

Appendices

Appendix 1: Qualifications, Experience and Competence requirement for Experts ................ 14
Appendix 2: Guidelines for developing Consultancy Quality Assurance System .................... 15
Appendix 3: Assessment process ............................................................................................... 17

Annexures

Annexure 1: Application Form ................................................................................................. 18
Annexure 2: Declaration of accepting NABET’s Code of Conduct ........................................... 21
Annexure 3: Resume Format ..................................................................................................... 22
# 1. ABBREVIATIONS

<table>
<thead>
<tr>
<th>Abbreviation</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>AC</td>
<td>Accreditation Committee</td>
</tr>
<tr>
<td>AO</td>
<td>Applicant Organization(s)</td>
</tr>
<tr>
<td>ASSOCHAM</td>
<td>Associated Chambers of Commerce</td>
</tr>
<tr>
<td>CAPA</td>
<td>Corrective Action &amp; Preventive Action</td>
</tr>
<tr>
<td>CII</td>
<td>Confederation of Indian Industry</td>
</tr>
<tr>
<td>CO</td>
<td>Consultant Organization</td>
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<tr>
<td>EMS</td>
<td>Environment Management System (ISO 14001)</td>
</tr>
<tr>
<td>ACO</td>
<td>Accredited Consultant Organization</td>
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<tr>
<td>CV</td>
<td>Curriculum vitae</td>
</tr>
<tr>
<td>DA</td>
<td>Desktop Assessment</td>
</tr>
<tr>
<td>DSR</td>
<td>Diagnostic Study Report</td>
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<tr>
<td>FICCI</td>
<td>Federation of Indian Chambers of Commerce and Industry</td>
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<tr>
<td>HAH</td>
<td>Hospital &amp; Health Care</td>
</tr>
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<td>HAH CO</td>
<td>Hospital &amp; Health Care Consultant Organization</td>
</tr>
<tr>
<td>IA</td>
<td>Initial Assessment</td>
</tr>
<tr>
<td>IH</td>
<td>In-house</td>
</tr>
<tr>
<td>ISO</td>
<td>International Organization for Standardization</td>
</tr>
<tr>
<td>IRDA</td>
<td>Insurance Regulatory and Development Authority</td>
</tr>
<tr>
<td>MOU</td>
<td>Memorandum of Understanding</td>
</tr>
<tr>
<td>NABET</td>
<td>National Accreditation Board for Education &amp; Training</td>
</tr>
<tr>
<td>NABH</td>
<td>National Accreditation Board for Hospitals &amp; Healthcare Providers</td>
</tr>
<tr>
<td>NGO</td>
<td>Non-Government Organization</td>
</tr>
<tr>
<td>NC</td>
<td>Non-conformance</td>
</tr>
<tr>
<td>OA</td>
<td>Office Assessment</td>
</tr>
<tr>
<td>Obs.</td>
<td>Observations</td>
</tr>
<tr>
<td>OHSAS</td>
<td>Occupational Health and Safety Assessment System</td>
</tr>
<tr>
<td>QCI</td>
<td>Quality Council of India</td>
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<tr>
<td>QMS</td>
<td>Quality Management System</td>
</tr>
<tr>
<td>RA</td>
<td>Re-Accreditation</td>
</tr>
<tr>
<td>SA</td>
<td>Surveillance Assessment</td>
</tr>
<tr>
<td>TA</td>
<td>Technical Assessor</td>
</tr>
<tr>
<td>TC</td>
<td>Technical Committee</td>
</tr>
<tr>
<td>TQM</td>
<td>Total Quality Management</td>
</tr>
<tr>
<td>CQAS</td>
<td>Consultancy Quality Assurance System</td>
</tr>
<tr>
<td>VE</td>
<td>Visiting Expert</td>
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2. GENERAL INFORMATION

2.1 Background of Scheme

In the recent times, demand for quality in healthcare services has risen due to various market forces such as medical tourism, insurance, corporate growth and competition. As a result of these the expectations of the consumer for best in quality has also risen, which has indeed lead to the introduction of national and international accreditation bodies to act as a quality assurance mechanism, thus enhancing customers access to better healthcare services. National Accreditation Board for Hospitals and Healthcare Providers (NABH) defines Hospital Accreditation as a public recognition by a national or international healthcare accreditation body, of the achievement of accreditation standards by a healthcare organization, demonstrated through an independent external peer assessment of that organizations level of performance in relation to the standards.

A number of consultants are helping various Hospitals and Healthcare (HAH) sector for achieving NABH Standard of accreditation. The selection of a capable Consultant by an organization is important in ensuring that their management system is capable of meeting the planned objectives of the organization in the most efficient and cost effective manner.

Hospitals may cater to multi-disciplinary activities where inputs are required from specialists in different areas. Therefore besides capable consultants, a consultant organization would require to have a capable Coordinator or Team Leader who would lead the team of various consultants and/or experts to provide a comprehensive guidance to the HAH and develop an effective system as per NABH guidelines.

2.2 An Outline of the Scheme

In view of the above it is therefore proposed to develop a credible Accreditation Scheme specifying the requirements for the accreditation of Hospital & HealthCare Consultant Organization (HAH-CO) like suitable infrastructure, qualified & competent human resource, Hospital & Health care expert, system oriented working system, sustainable and ethical working.

This scheme is be developed by NABET in consultation with NABH, consultant organizations, Hospital & healthcare institutes, HAH experts, regulators and other stakeholders. Independent and transparent accreditation scheme is operated by NABET.

This comprehensive document describes the scheme’s requirements of human resources, consultancy quality assurance systems and procedures to be followed, the assessment process and the accreditation criteria. Various aspects of the scheme will be –

a) Eligibility (who can get accreditation) and coverage of the Scheme
b) Hospital & Health Care Experts – qualification, experience and competence requirement
c) Consultancy quality assurance system
d) Assessment, Accreditation process
3. ACCREDITATION REQUIREMENT & PROCESS

3.1 Eligibility for Hospital & Healthcare Consultant Organization /Legal Status

The Consultant organizations for Hospital & Healthcare (NABH Standard) desiring for NABET Accreditation should be legal entities including propriety firms, partnership firms or companies (Pvt. & Public Limited), bodies registered under Registration of Society Acts etc.

3.2 Experts

Hospital & Healthcare (NABH Standard) consultancy is multi-disciplinary task which requires inputs from specialists having knowledge of Hospital & Healthcare sector, NABH Standards, quality, resource, hospital management, innovations & creativity, human resource, applicable local laws, environment health and safety, legislation, processes development and their verifications regulatory framework etc. related with NABH requirements.

Human Resource Requirement:

a. The NABH consultant organizations shall have adequate number of well-qualified professionals (consultants, experts etc.), full time, visiting or on the panel to support the scope of services being offered by the consultant organizations. CO must have minimum one in-house expert of medical qualification.

b. The in-house expert must be of medical background and competent in HAH consultancy meeting all the requirements wrt qualification, experience and competency disciplines and standard.

c. All individual consultants working with the organization should have successfully completed NABH Assessor Training Course/ Programme on Implementation of NABH Hospital Standards – Internal Counsellor’s Course).

d. List of proposed experts (should have backup experts if possible) with her/his identified competence, their responsibilities in consultant organization, submit along with the application form.

e. For visiting/ Part-Time expert, there should be a written agreement/MOU between the organization and visiting expert as mentioned in Annexure 4.

f. An Expert in consultant organization working as consultant cannot work as assessor for any NABH assessing bodies for any Hospital & healthcare organization.
3.3 Scope of Accreditation

An applicant Hospital & Health Care Consultant organization should define their expertise in different sectors of businesses, related activities of HAH (NABH), it is expected to satisfy the requirement of experienced HAH experts for HAH consultant activities planning, documenting, implementing, monitoring and reporting.

3.4 Infrastructure

Hospital & Healthcare (NABH Standard) Consultant Organization wishing to apply for accreditation under this Scheme should have the following infrastructural facilities:

a. Office setup, suitable meeting/discussion room(s)
b. Experts room/work stations
c. Contemporary discussions/training aids (as projectors, whiteboard, markers, flipchart, audio, video facilities etc.) including requisite software for their staff or clients
d. CO having library facilities or appropriate subscriptions to update their knowledge about the latest developments in the area of Hospital & Healthcare (NABH Standard) Consultancy.
e. If CO have offices at multi-locations, these should be mentioned in application with details of experts, infrastructure etc.

3.5 Consultant Quality Assurance System (CQAS-HAH):

Consultant organization should have quality assurance system for continually improving the delivery and effectiveness of Hospital & healthcare (NABH Standard) consultancy. It could be based on Quality Management System (QMS) as prescribed in ISO 9001: 2015 standards, however CQAS of the organization should have the procedures prescribed below:

I. Procedure for evaluating, selecting, appointing and monitoring expert for the Hospital & Healthcare Consultancy.
II. Procedure for developing Diagnostic study report and conducting Hospital & Healthcare Consultancy.
III. Procedure for collecting feedback, analysis and evaluation of feedback for improving the methodology, delivery and effectiveness of future Hospital & Healthcare consultancy programmes.
IV. Procedure for addressing complaints, suggestions and conflict of interest.
V. Procedure for periodic reviewing the Hospital & Healthcare requirements, law, rules and consultancy materials.
VI. Procedure for maintaining records and documents related to consultancy.
VII. Procedure for ensuring the implementation of all the above procedures.
4. ASSESSMENT & ACCREDITATION PROCESS

4.1 Application Process

Details of the accreditation scheme and the Application Form (Annexure 1) are posted on the QCI-NABET website. Any institution desirous to be accredited under this scheme should carefully go through the requirements of the Scheme, processes, assess their own adequacy, and take care of shortfalls, if any, before applying.

Application form complete in all respects giving relevant details of application fee can be sent in a soft copy, however, a hard copy of the same application form along with application fee should be sent to –

The Director,
National Accreditation Board for Education & Training,
Quality Council of India, Institute of Town Planners India, 6th Floor,
4 A, Mahatma Gandhi Road (Ring Road), New Delhi - 110 002, India
Tel: +91 11 233 23 416 / 417 / 418 / 419 / 420
hari@qcin.org , nabet@qcin.org

Hard copy of any other document may have to be submitted if asked for by QCI, subsequently. Secretariat will inform the CO of any clarification/additional information that may be required for completeness of the application.

4.2 Assessment Process

Assessment Process comprises three parts-

1. Initial Assessment -
   ➢ Application Completeness: Submitted application shall be reviewed by NABET secretariat for its completeness. Inadequacies in application (if any) shall be informed to applicant institution. CO should submit complete response within 30 days. Only completed applications will be further processed. CO should submit the filled self-assessment report in NABET format.

   **Note 1:** If inadequacies are found in the response, the same will be communicated with an additional time of 30 days. If CO fails to submit satisfactory response even after additional time then the application is made inactive.

   **Note 2:** The inactive period will be for 60 days. The CO may submit satisfactory response in the given time. If the response is not satisfactory then the application will be treated as closed and the CO has to re-apply with full fees.

   ➢ Desktop Assessment: NABET assessor conducts adequacy assessment (application & technical assessments of documents submitted by CO). Observation(s) and NCs (if any) would be communicated by NABET secretariat. CO should submit complete response within 30 days.
Note 3: Closure of NCs and observations submitted by CO will be verified by NABET assessor. Note 1 & 2 given will be followed for timelines.

Non Conformity (NC): - Non-fulfilment of the requirement/s of the scheme
Observation (Obs): Any point which may lead to non-conformity if not addressed.

Office Assessment: Following the review and acceptance of the documentation procedures, NABET shall undertake preferably one full assessment at CO premises. It includes interaction with each expert (in house and visiting) / quality manager, concerned administrative staff etc. verification of infrastructure, implementation of consultancy quality assurance system and clients feedback. Assessment report [findings like observation(s) and NCs (if any)] would be reported by NABET assessors to NABET secretariat and in turn communicated to CO. Corrective measures shall be submitted by CO within 30 days. All CO assessment report will be reviewed NABET secretariat and put up to accreditation committee for accreditation approval. Decision regarding grant/denial of accreditation would be communicated to CO by secretariat.

Note 4: Closure of NC’s and observations submitted by CO will be verified by NABET assessor.

2. Surveillance Assessment (SA)- If there is no change in approved experts, consultancy quality manual, infrastructure, etc. then Accredited CO shall pay surveillance fee and inform NABET for due surveillance, Accredited CO need not to submit new application.
If there is any change in experts, team composition, quality manual, infrastructure, modification of scope etc. then new application with updated details and applicable fee shall be submitted and same process as above will be followed.
SA will be conducted with particular emphasis on performance, quality of consultancy delivery, client’s feedback, implementation of CQAS, compliance to conditions of accreditation. Two SA to be carried out within 12 and 24 months from the date of accreditation.

3. Re-Accreditation– Process will be similar as initial assessment, with particular emphasis on performance, feedback by clients, CQAS etc. in three years from the date of accreditation. RA application shall be submitted 3 months prior to due date. RA process shall be completed before the expiry of accreditation to avoid any discontinuation of accreditation.

Extra Visit if needed: On the bases of risk factors, received information or complaint from primary or secondary source, surprise Visit / extra visit may be planned unannounced or announce.

4.3 Accreditation Criteria

Accreditation under this criterion will be:

On the basis of desktop assessment (DA), report by assessor(s) and satisfactory closure of NCs and observations, office assessment will be conducted by NABET assessor(s). Based on office assessment report, NCs and observations, if any, shall be communicated to the CO for the compliance. CO shall submit evidence based compliance of NCs and observations at the
earliest but not later than a month. If required additional office and witness assessment may be required for verification of closures.

Accreditation period of three years will be counted from the date of office assessment; however, this validity period is subject to satisfactory SA.

5. **TERMS & CONDITIONS TO MAINTAIN ACCREDITATION**

5.1 **Compliance to the Conditions of Accreditation**

a. Accreditation period of three years shall be counted from the date of office assessment; however, this validity period is subject to satisfactory SA.

b. Accredited CO should submit regularly six monthly reports and complete SA/RA application two to three months prior to due date (12/24/36 months from the date of accreditation) to maintain the accreditation continuity.

c. Accreditation shall expire at the end of its validity unless renewal is sought in time.

d. All payments shall be made in advance.

e. Franchising, licensing, subcontracting of NABET Accredited consultant organisations is NOT permissible.

f. Accredited Consultant Organisations (ACO) should submit a six monthly report about the Hospital & Healthcare projects taken up and list of approved experts involved and status of project.

g. Any change in expert, employment status, scope etc. shall be informed to NABET within 10 days with relevant documents.

h. Accredited CO just after accreditation shall sign the ‘Code of Conduct’ and send it to NABET Secretariat.

i. The Accredited CO shall maintain relevant records of each consultancy conducted.

5.2 **Suspension / Withdrawal of Accreditation**

NABET will suspend or withdraw accreditation on account of any or more grounds during accreditation process or after, but not limited, to the following:

a) Non-compliance, violation of the NABET requirements, conditions of Empanelment

b) Deviation from facts as stated in application and enclosures

c) Submission of false or misleading information in the application or in subsequent submissions

d) Improper use of NABET accreditation mark.

e) Carrying out changes in experts/ quality procedures without NABET’s approval

f) Failure to report any major legal (mandatory compliance) changes.

g) Using fraudulent practices by the accredited CO in respect of its submission/ interaction with NABET which would include, but not limited to, deliberate concealment and/or submission of false or misleading information, suppression of information, falsification of records or data, unauthorized use of accreditation, and non-reporting of complaints against training institutions to NABET.

h) Non- payment of applicable fees in time to NABET.
i) Not submitting SA/RA application in time.

j) Franchising, licensing or subcontracting of consultancy/ programs

k) Any other condition deemed appropriate by NABET

l) Suspension/ withdrawal will be with the approval of accreditation committee

5.3 Code of Conduct

All ACO’s are obliged to improve the standing of the profession by rigorously observing the Code of Conduct. Failure to do so may result in the suspension or cancellation of empanelment.

The ACO undertakes:

a. To act professionally, accurately and in an unbiased manner.

b. To be truthful, accurate and fair to the assigned work, without any fear or favor.

c. To judiciously use the information provided by or acquired from the applicant and to maintain the confidentiality of information received or acquired in connection with the assignment.

d. To avoid and / or declare any conflict of interest that may affect the work to be carried out.

e. Not to act in a manner detrimental to the reputation of any of the stakeholders including NABET and the customer.

f. To co-operate fully in any formal enquiry procedure of NABET.

5.4 Complaint and Appeals

i. The ACO shall establish documented procedures for handling and disposal of complaints and appeals within a reasonable time. The documented procedure shall include provision for-

   a. Providing information regarding complaint handling process to all interested parties

   b. Acknowledgement of complaints

   c. Complaint analysis/ investigation for redress of complaint/appeals.

   d. Communication with the complainant/appellant for satisfactory closure of the complaint.

   e. Involvement of NABET in unresolved complaints or appeals if any.

ii. The ACO shall maintain records of all complaints and their resolutions including actions taken.

iii. All complaints and appeal to be assessable to NABET assessment.

5.5 Payment of Fee

Details of fee structure are given in Section 6 (Page No.13).

a. The fees are to be paid by a Demand Draft payable at Delhi or a local Cheque of Delhi in favour of “Quality Council of India” or can be transferred to QCI account (details available http://www.qcin.org/nabet/pop/Bank-details-Nov-2009.pdf)

b. Application fee has to be sent along with the application. Applications not accompanied by the application fee will not be processed further.

c. Any pending fee payments must be made before finalizing the date of assessment.
d. Annual Accreditation Fee shall be paid every year.

e. No SA, RA, issuance of certificate etc. if dues are pending.

f. The fees are not refundable.

g. Service Tax/GST- as applicable.

h. Application fee payment to be made in advance and mention payment transaction no. details in the application. Applications not fee transfer details in the application fee will not be processed further.

i. If dues not paid for 60 days then name of the Accredited CO may be removed from list of Accredited CO without prior information.

5.6 Governance

NABET reserves the rights with respect to empanelment scheme for HAH Consultant organization NABET will have following functions (but not limited to):

a. Changing/ modifying the criteria/ guidelines/ fee structure

b. Suspension/cancelling of accreditation in case of violation of any clause of the Scheme

c. Surprise visits/ extra witness assessments

5.7 Confidentiality

a. All information, documents submitted by an applicant to NABET shall be used by NABET (including NABET Assessors and Members of Accreditation Committee) for the purpose of assessment & empanelment only. These may also be used for research purpose or sharing with any ministry, IAF/APLAC and other members of the International Personnel Certification Association. However, the identity of the empaneled CO would be protected for sensitive information related to business whenever it is called for/ appropriate. In case a CO wants the information to be kept confidential, a communication shall be sent to NABET citing reasons for the same. NABET reserves the right to take decision in this regard.

b. ACO shall have adequate arrangements consistent with applicable laws to safeguard confidentiality of all information provided by stakeholders.

c. The Accredited CO should maintain confidentiality of their client’s related information like location, products, processes, vendors, feedback form, personal details etc.

5.8 Use of QCI-NABET Logo

NABET Logo can be used by accredited CO and is restricted only to the promotional material and stationary saying CO is accredited by NABET for Hospital & Healthcare (NABH Standard) consultancy only.

NABET logo can be used by ACO only at following places:

a. On promotional material and study material stating that the CO is empaneled by NABET.

b. On letter head and visiting cards mentioning that the CO is accredited by NABET for the Hospital & Healthcare (NABH Standard) consultancy only.

c. ACO should ensure that NABET logo should not be used until accredited by NABET for specific sector.
d. On suspension, withdrawal, after expiry of accreditation validity, earlier Accredited CO must not use NABET logo. It may attract legal implications.

6. Fee Structure

Fees will be charged to the CO under the following heads:

<table>
<thead>
<tr>
<th>Fee Details</th>
<th>Excluding Taxes</th>
</tr>
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<tbody>
<tr>
<td>a) Application Fee</td>
<td>Rs 30,000/-</td>
</tr>
<tr>
<td>b) Initial Accreditation</td>
<td></td>
</tr>
<tr>
<td>I. Technical review of document/application</td>
<td>1 to 2 man days</td>
</tr>
<tr>
<td>II. Office Assessment</td>
<td>1 to 4 man days</td>
</tr>
<tr>
<td>c) Annual Fee</td>
<td>Rs 30,000/- (each year, 3 fee in three years)</td>
</tr>
<tr>
<td></td>
<td>Rs 1000/-</td>
</tr>
<tr>
<td>d) Surveillance (every year)</td>
<td></td>
</tr>
<tr>
<td>I. Technical review of document/application</td>
<td>1 man day</td>
</tr>
<tr>
<td>II. Office Assessment</td>
<td>1-2 man day</td>
</tr>
<tr>
<td>e) Re-assessment (after 3 years)</td>
<td></td>
</tr>
<tr>
<td>Application</td>
<td>Rs 30,000/-</td>
</tr>
<tr>
<td>I. Technical review of document/application</td>
<td>1 to 2 man days</td>
</tr>
<tr>
<td>II. Office Assessment</td>
<td>1 to 4 man days</td>
</tr>
</tbody>
</table>

*Mandays’ charges are Rs. 12,000/- at the present

#Travel and stay of assessors – as per actual

Note:

i. Numbers of days for assessment are estimated based on the number of candidates to be interviewed, size of the organization, documents/laboratory to be seen etc. QCI/NABET reserves the right to revise the person day rate, if deemed necessary.

ii. Travels by air economy class or 2T AC (if no air connection), three star hotel boarding and lodging charges (to be borne by the CO at actual). If any deviation, then with the consent of CO.

iii. If closure of NCs/Observations require extra office/ witness assessment or for any additional verification visit that will be charged extra Rs. 12000/- per person day.

iv. Expansion of scope or modification of scope in SA, conducting more training then above fee will be applicable.

v. Any change in certificate with respect to scope, premises, EHS expert, address etc. will be charged Rs. 1000/-

vi. “*” Indicates a typical example. The number of man-days may vary depending on size of the consultant organization and the type of non-conformities.

vii. “#” Expenses on local travel, outstation travel, boarding etc. of Assessors will be charged on actuals.
Appendix 1

Qualifications, Experience and Technical requirement for Experts

1. **Minimum Educational Qualification and Experience:**

   The CO must have minimum one in-house expert of **medical qualification**. The professional must have following qualification and experience-

<table>
<thead>
<tr>
<th>S. No</th>
<th>Educational Qualification (From recognized Institutes / college / University)</th>
<th>Total Experience (including Professional + NABH Standard implementation)</th>
<th>Professional experience in medical &amp; hospital related activities</th>
<th>Exp. in documentation, implementation in the successful Pre entry-level NABH certification or accreditation of hospital &amp; healthcare organization of 50 beds or more</th>
</tr>
</thead>
<tbody>
<tr>
<td>i.</td>
<td>Graduate /Master in Medicines (MBBS/MD)</td>
<td>3 years</td>
<td>2 years</td>
<td>1 years</td>
</tr>
<tr>
<td>ii.</td>
<td>Graduate in any medical (AYUSH) with MBA*/PG Diploma* in Hospital &amp; Healthcare Administration/ Healthcare Management alike.</td>
<td>4 years</td>
<td>2 years</td>
<td>2 years</td>
</tr>
<tr>
<td>iii.</td>
<td>Nursing Graduate with MBA/PG Diploma in Hospital &amp; Healthcare Administration /Management alike</td>
<td>5 years</td>
<td>2 years</td>
<td>3 years</td>
</tr>
<tr>
<td>iv.</td>
<td>Science graduate with MBA/PG Diploma in Hospital &amp; Healthcare Administration /Management alike</td>
<td>8 years</td>
<td>5 years</td>
<td>3 years</td>
</tr>
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2. **Minimum Technical Qualification:**

   The Professional shall be successfully completed the following from NABH/QCI-

   i. Programme on Implementation on NABH Standard.

   Or

   ii. NABH Assessor course from NABH

   * In Hospital & Healthcare Administration/ Healthcare Management alike shall be consider.
Appendix 2

Guidelines for developing Consultancy Quality Assurance System (CQAS)

Consultant organisation should have quality assurance system for continually improving the delivery and effectiveness of Hospital & Healthcare consultancy. It could be based on Quality Management System (QMS) as prescribed in ISO 9001:2015 standards, however CQAS of the organization should have the procedures prescribed below:

Broad guidelines on issues to be addressed for each of the above items are given below:

i. Procedure for evaluating, selecting, appointing and monitoring expert for the Hospital & Healthcare Consultancy – should give procedures for
   a. Prescribing qualifications, experience, competence requirements for Hospital & Healthcare experts/resource persons (in-house/external)
   b. Assessing competence of a Hospital & Healthcare expert/resource person prior to appointment.
   c. Assessing performance/monitoring Hospital & Healthcare expert after appointment and during/after consultancy
   d. Identifying training areas of improvements for Hospital & Healthcare expert/resource person
   e. Fixing Terms of Reference for retention and guidelines for-
      I. Imparting consultancy
      II. Code of conduct and Conflict of Interest

ii. Procedure for developing diagnostic study report and conducting Hospital & Healthcare Consultancy - should give procedures for
   a. Meeting clients, discussions, defining minimum infrastructure requirements in terms of Conference space, seating, projectors, consultancy material etc.
   b. Defining roles and responsibilities of the Hospital & Healthcare consultancy experts and support team
   c. Continuous upgrading the documentation and regular review of the milestones of the consultancy.
   d. Communication with client, giving information about consultancy activity, main area, communication channel information, feedback etc.
   e. Developing procedure how to fulfil the requirements of consultancy and closure of project.

iii. Procedure for collecting feedback, analysis and evaluation of feedback for improving the methodology, delivery and effectiveness of future Hospital & Healthcare consultancy programmes – giving procedures for
   a. Inviting feedback on consultancy imparted from clients in specific formats to assess Hospital & Healthcare expert competence, mode of delivery, effectiveness etc.
b. Evaluating the feedback for areas of strengths and improvements in respect of documentation, implementation and quality of consultancy.
c. Corrective & preventive actions for gaps in the deliverables/ expectation of clients.
d. Action to be taken to close the gap on quality of consultancy including changing the concerned Hospital & Healthcare expert, if required
e. Updating the consultancy parameters, as necessary

iv. **Procedure for addressing complaints, suggestions and conflict of interest – Applicable to CO**
   a. Informing the stakeholders about the provision of complaints and conflict of interest
   b. Accepting, handling and disposal (including authority and responsibility) of the same within reasonable time
   c. Maintaining records of complaints
   d. Conflict of interest (COI) procedures, monitoring
   e. Ensuring implementation COI/ preventive/ corrective actions

v. **Procedure for periodic reviewing the Hospital & Healthcare requirements, law, rules and consultancy materials-**
   a. Approving documents prior to issue
   b. Updation of documents, as required
   c. Ensuring quick availability of relevant revision of the document
   d. Maintaining consultancy specific records of venue, date, promotional literature, Hospital & Healthcare expert/resource persons involved,
   e. Storage, protection, retrieval and disposal of documents

vi. **Procedure for maintaining records and documents related to consultancy:**
   a. Management committee review/ periodic review of actions pending from last review
   b. Action on feedback from stakeholders to update consultancy requirements
   c. Updating of amendments in rules/laws, new case studies, latest scenario
   d. Updating as per Hospital & Healthcare /sustainability/ environmental aspects
   e. Administrative issues including future consultancies and sectors.

vii. **Procedure for ensuring the implementation of above all procedures**
ASSESSMENT PROCESS

Assessment Process comprises three parts:

- **Desktop/Initial/Office Assessment** – completeness of application, technical assessments of documents submitted and office assessment including interaction with CO’s expert(s) and concerned administrative staff to understand capability for consultancy.

- **Surveillance Assessment** - Same as above, with particular emphasis on performance, quality and effectiveness of consultancy provided, compliance to conditions of empanelment, carried out within 12 and 24 months after initial empanelment.

- **Re-Accreditation** – same as 1st assessment, with particular emphasis on performance during the accreditation cycle including feedback by client(s), after 3 years of initial accreditation.

**Initial Accreditation**
Aspects to be considered and their weightage:

**For Empanelment –**

<table>
<thead>
<tr>
<th>Aspect</th>
<th>Weightage</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Desktop assessment, number of Hospital &amp; Health care consultancy Experts, competence of experts (documentary evidence of knowledge base) available with Applicant Consultant Organization, trainings attended</td>
<td>40%</td>
</tr>
<tr>
<td>2. Infrastructural facilities of Applicant Consultant Organization, consultancy material, provision how to update experts time to time</td>
<td>20%</td>
</tr>
<tr>
<td>3. Consultancy Quality Assurance System and implementation</td>
<td>30%</td>
</tr>
<tr>
<td>4. Past experience and successful projects completion by CO for Initial Assessment and Feedback of clients.</td>
<td>10%</td>
</tr>
</tbody>
</table>
APPLICATION FORM

Accreditation for Hospital & Healthcare Consultant Organization

1) Applicant Organization
   a) Name:
   b) Head Office- address, email, telephone:
   c) Branch Office(s) - addresses, email, telephone:
   d) Website:

2) Name of Head of the Applicant Organization with designation:

3) Contact person details:
   a) Name :
   b) Tel No.:
   c) Mobile:
   d) Email:

4) Application for (please mark (v) the appropriate status):
   a) New Course Accreditation
   b) Re- accreditation

5) Legal Status of the Organization (please mark (v) the appropriate status):
   a) Public/Private/Government
   b) Company/ Partnership/ Proprietorship/ Registered Society
   c) Research/Academic Institute
   d) Industry Association
   e) Others (please specify and attach necessary evidence)

6) Date of Registration/Incorporation (DD/MM/YYYY):
   (Attach copy of certificate of incorporation/registration)

7) Year of Establishment:

8) Details of consultancy provided in Hospital & Healthcare Organization
<table>
<thead>
<tr>
<th>Sr. No.</th>
<th>Project in which Consultancy Provided</th>
<th>Year</th>
<th>Duration</th>
<th>Remark</th>
</tr>
</thead>
</table>

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NABET-STS/ Accreditation Scheme for HAH CO 2018/Rev 04
9) Other services provided by Consultant Organization (if any like Training etc.)

10) If involved in Training, please provide details of Training (Use Separate Sheet if required)
   a) Topic        b) Duration

11) Is Institution affiliated with any Regulatory Body?

11.1 If YES, please mention the following:
   a). Name of the Body (s) with which affiliated: ------------------------
   b). Affiliation No. and validity: ------------------------
   c). Year of affiliation: ------------------------

(Note: Attach affiliation certificate)

12) Organization structure (with details of locations/ associates etc)

13) Consultancy Facilities –
    Summary of personnel involved in hospital & health Care consulting services –
    i. In house Experts –
    ii. Empanelled/ Visiting Experts –
    iii. Administrative staff -

(Note: Attach list of proposed experts with their CV as per Annexure 3 in application)

<table>
<thead>
<tr>
<th>Sl. No.</th>
<th>Name</th>
<th>IH / Emp</th>
<th>Educational Qualification</th>
<th>Training Certificates, if any</th>
<th>Professional experience in medical &amp; hospital related activities (Years)</th>
<th>Exp. in documentation, implementation in the successful Pre entry-level NABH certification or accreditation of hospital &amp; healthcare organization of 50 beds or more (Years)</th>
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Kindly ensure that the proposed experts meets the qualification and experience requirements as prescribed in the Appendix 1 of the Scheme.

14) Have you developed a Quality Manual meeting the requirements CQAS of the Scheme as mentioned in Appendix 2) Yes/ No
   (Attach Consultancy Quality Assurance System (CQAS), Institution Brochure and Associated Documents.)

15) Please find enclosed the Demand Draft/ Cheque (Delhi only) no.................................for
Rs………………………….. dated ……………… drawn on ………………. in favour of Quality Council of India, payable at New Delhi towards the application fees (Enclosure)

16) Declaration

We have carefully read all NABET guidelines for Accreditation of Hospital & Healthcare Consultant Organization. We confirm that the information in support of the application is correct to the best of our knowledge. We agree to abide by the code of conduct and terms & conditions of NABET as applicable from time to time.

We authorize NABET to make any enquiry as deemed fit as part of the reviewing process. We understand that in case any information is found to be incorrect, it may result in rejection of this application and/or disqualification. We authorize NABET to utilize the information provided in this application for legal, research, training, sharing with other IPC members and/or for any other purpose as may be deemed fit by NABET.

If accredited, we commit to notify NABET immediately of any changes in the status where information regarding such changes, if declared may affect the consideration for Accreditation of the organization

Authorised Signatory
Name:____________________________________
Designation:_____________________________
Date:____________________________________
Place:__________________________________
DECLARATION OF ACCEPTING NABET’S CODE OF CONDUCT

C.E.O. / Head of Applicant Consultant Organisation

This is to confirm that I ………………………., working as CEO/ Head of……………… agree with the Code of Conduct (Section 4.3 of Scheme), conditions of accreditation of NABET and give an undertaking that I would abide by the stated conditions for all activities pertaining to Consultancy Services/ Activities.

I also understand that awarding/ continuation of accreditation of my organization is subject to continual compliance to conditions of accreditation.

Name .................................................................
Designation ...........................................................
Date .................................................................
Signature ............................................................
Resume Format

Consultant/Expert Resume Format

Dr./Mr./Ms./Mrs./
(First Name)  (Middle Name)  (Last Name)

1. Status in the Organization:  In-house Full Time □  Visiting/Empanelled □

2. Date of Birth

3. Office Address
   ________________________________
   ________________________________  Pin Code

4. Tel. No.  ________________________________  5. Fax No.  ________________________________

6. Email address

7. Mailing address  Home/Office
   ________________________________
   ________________________________
   ________________________________

8. General Education (Senior Secondary)

<table>
<thead>
<tr>
<th>Period (Year)</th>
<th>Board</th>
<th>Qualification</th>
<th>Educational Institution &amp; Address</th>
<th>Subjects</th>
<th>Grade</th>
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9. Professional Education:
<table>
<thead>
<tr>
<th>Period (Year)</th>
<th>Institution Name &amp; Address</th>
<th>Qualification</th>
<th>Stream</th>
<th>Grade / % Marks</th>
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10. Registered / recognized training courses attended:

<table>
<thead>
<tr>
<th>Sr No.</th>
<th>Course Name</th>
<th>Attended</th>
<th>Conducted By</th>
<th>Duration &amp; Dates</th>
<th>Result</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>NABH Assessor Training Course</td>
<td>Y/N</td>
<td></td>
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<td>2.</td>
<td>Programme on Implementation of NABH Hospital Standard</td>
<td>Y/N</td>
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<tr>
<td>3.</td>
<td>Other Courses</td>
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11. Membership of Professional Bodies:

<table>
<thead>
<tr>
<th>Sr.No.</th>
<th>Professional Body (Name &amp; Address)</th>
<th>Membership Valid Till</th>
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<tbody>
<tr>
<td></td>
<td></td>
<td>Grade No.</td>
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12. Experience (Please write in chronological order with present experience listed first):

A. General:

<table>
<thead>
<tr>
<th>Period (Month and Year)</th>
<th>Organization with address</th>
<th>Department</th>
<th>Designation</th>
<th>*Role/Duties/ Responsibilities</th>
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B. Specific experience related to Hospital & Healthcare Consultancy:

<table>
<thead>
<tr>
<th>S. No</th>
<th>Name of the Employer</th>
<th>Complete Name of the Project</th>
<th>Roles and Responsibilities (Nature of Experience)</th>
<th>Period &amp; Year</th>
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</table>
13. Declaration by the applicant

I attest that the above information relating to my education and experience is correct. I do understand that any incorrect information will result in the disqualification of self and the organizational accreditation with NABET.

I hereby declare that I am not working as Assessor for NABH.

Signature __________________________ Date _______/ _______/ _______

14. Declaration by the Consultant Organization

The above information in relation to Dr./Mr./Ms. ............................................................... has been verified and found to be correct.

Dr./Mr./Ms............................................................... not giving his/her service as Assessor for NABH.

I understand in case the information is found to be incorrect it may result in the rejection/suspension of this application for the accreditation of Hospital & Healthcare Consultant Organization.

Attested By

Authorized Signatory:

Name
Designation
Date
Place
Annexure 4

MOU/Agreement of Visiting /Empanelled Expert

Written MOU/Agreement shall be signed between the organization and visiting expert whose services are used for conducting consultancy. Such MOU/Agreement should include:

i. Name of the HAH expert & Consultant Organisations
ii. Duration of association
iii. Specific roles & responsibilities and acceptance of visiting expert
iv. Information Regarding associated with any other HAP consultant organisations
Checklist

List of Enclosures (to check)  Enclosed

1. Registration Certificate  Y/ N
2. Organization chart  Y/ N
3. Consultancy promotional material developed  Y/N
4. List and resumes of experts/staff (indicating qualification & Experience)  Y/ N
5. Admin. Support Staff (indicating qualification and Experience)  Y / N
6. Details of Grants received in last 3 years, if any  Y/ N
7. Summary of Audited Financial Reports of last 3 years  Y/ N
8. Consultancy Quality Assurance System, Institution Brochure and Associated Documents  Y/ N
9. Application Fee (as applicable)  Y/N

GENERAL INSTRUCTIONS

- All columns of application form must be filled up in legible handwriting, typed or printed.
- Copies of all the relevant documents should be sent with the application.

For further details contact-

Dr. Hari Prakash, Director

National Accreditation Board for Education and Training
Quality Council of India
Institute of Town Planners India, 6th Floor 4 A,
Mahatma Gandhi Road (Ring Road) New Delhi - 110 002, India
Tel +91 11 23323416 – 20, Ext 129
Email id: hari@qcin.org

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